



GOVERNANCE AND CULTURE REFORM

FEDERAL RESERVE BANK *of* NEW YORK

Monday, June 18, 2018 – Attendee List

Industry

	First Name	Last Name	Affiliation
1.	Lisa	Prager	Agricultural Bank of China
2.	Gus	Ortega	AIG
3.	Hu	Benton	American Bankers Association
4.	Anil	Agarwal	AMEX
5.	Cathy	Bessant	Bank of America
6.	Fabrizio	Gallo	Bank of America
7.	Geoffrey	Greener	Bank of America
8.	Lionel	Nowell	Bank of America
9.	Alex	Taylor	Bank of America
10.	Christina	Skinner	Bank of England
11.	Jake	Lawrence	Bank of Nova Scotia
12.	Collette	Bowe	Banking Standards Board
13.	Alison	Cottrell	Banking Standards Board
14.	Mikael	Down	Banking Standards Board
15.	Jonathan	Harvey	Barclays
16.	Richard	Haworth	Barclays
17.	Corinne	Heyes	Barclays
18.	Geraldine	Kemp	Barclays
19.	Jes	Staley	Barclays
20.	James	Hamilton	BlackRock
21.	Christopher	Begy	BMO Financial Corp
22.	David	Casper	BMO Financial Corp
23.	Jean-Yves	Fillion	BNP Paribas
24.	Jacqueline	Joyston-Bechal	BNY Mellon
25.	Mark	Musi	BNY Mellon
26.	William	Perlstein	BNY Mellon
27.	James	Wiener	BNY Mellon
28.	Jennifer	Evans	CIBC
29.	Jacqueline	McCaulley	CIT
30.	Wahida	Plummer	CIT
31.	Michael	Corbat	Citigroup
32.	Bradford	Hu	Citigroup
33.	Greg	Johnson	Citigroup
34.	Jo-Anne	Kelly	Citigroup
35.	David	Kirshenbaum	Citigroup
36.	Jodi	Schneck	Citigroup
37.	Michele	Siano	Citigroup
38.	Sara	Wechter	Citigroup
39.	Andrea	Crowe	Citizens Bank
40.	Derek	Bush	Cleary Gottlieb Steen & Hamilton LLP
41.	Michele	Fleming	CLS Bank International

	First Name	Last Name	Affiliation
42.	Bruce	Richards	Credit Suisse
43.	Nomita	Singh	Credit Suisse
44.	Jim	Barkley	Credit Suisse
45.	Luigi	De Ghenghi	Davis Polk & Wardwell LLP
46.	Denis	McInerney	Davis Polk & Wardwell LLP
47.	James	Mann	Davis Wright Tremaine
48.	Tom	Scanlon	Davis Wright Tremaine
49.	Jan	Ford	Deutsche Bank
50.	Sandy	Krieger	Deutsche Bank
51.	Pamela	Root	Deutsche Bank
52.	Richard	Weber	Deutsche Bank
53.	Mike	Silva	DLA Piper
54.	Brandon	Becker	DTCC
55.	Lisa	Hersey	DTCC
56.	William	Hodash	DTCC
57.	Mark	Yallop	FICC Market Standards Board
58.	William	Bousquette	Goldman Sachs
59.	William	George	Goldman Sachs
60.	Theo	Lubke	Goldman Sachs
61.	Robert	Mass	Goldman Sachs
62.	Asahi	Pompey	Goldman Sachs
63.	Sarah	Smith	Goldman Sachs
64.	Gail	Kelly	Group of Thirty
65.	Stuart	Mackintosh	Group of Thirty
66.	Bill	Rhodes	Group of Thirty
67.	Michele	Bonds	Heidrick & Struggles
68.	Yuqiang	Xiao	Industrial and Commercial Bank of China
69.	Alessio	Miranda	ING
70.	Mirea	Raaijmakers	ING
71.	Per	Dyrvik	Institute of International Bankers
72.	Richard	Coffman	Institute of International Bankers
73.	Andres	Portilla	Institute of International Finance
74.	Michael	Barletta	JPMorgan Chase & Co.
75.	PJ	Dearden	JPMorgan Chase & Co.
76.	Stacey	Freidman	JPMorgan Chase & Co.
77.	Jean Claude	Noel	Kets de Vries Institute
78.	Maria Teresa	Tejada	KeyCorp
79.	Elizabeth	Arzadon	Kiel Advisory Group
80.	Tamara	Scicluna	Kiel Advisory Group
81.	Rick	Wolf	Lexakos
82.	Sabeth	Siddique	M&T Bank Corporation
83.	Gus	Wong	Macquarie Group
84.	Bob	Jain	Millennium Management
85.	Simon	Lorne	Millennium Management
86.	James	Fisher	Mitsubishi UFJ Financial Group
87.	Melissa	Schoonveld	Mitsubishi UFJ Financial Group
88.	Jacques	Busquet	Mizuho Americas LLC
89.	Melissa	Aoyagi	Morgan Stanley
90.	David	Best	Morgan Stanley
91.	Eric	Grossman	Morgan Stanley
92.	Peg	Sullivan	Morgan Stanley
93.	Thomas	Wipf	Morgan Stanley

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94.	Raul	Yanes	Morgan Stanley
95.	James	Gorman	Morgan Stanley
96.	François	Riahi	Natixis
97.	Chris	DeBrusk	Oliver Wyman
98.	Til	Schuermann	Oliver Wyman
99.	Elizabeth	St-Onge	Oliver Wyman
100.	Paul	Beiboer	Rabobank
101.	Kariann	Dale	Royal Bank of Canada
102.	Kelley	Hoffer	Royal Bank of Canada
103.	John	Thurlow	Royal Bank of Canada
104.	Fotoulla	Charalambous	Royal Bank of Scotland
105.	Wieke	Scholten	Royal Bank of Scotland
106.	Bob	Mundheim	Shearman & Sterling LLP
107.	Reena	Sahni	Shearman & Sterling LLP
108.	Nancy	Harrington Jones	Société Générale
109.	Slawomir	Krupa	Société Générale
110.	Diony	Lebot	Société Générale
111.	Tom	Daniels	Spencer Stuart
112.	Jesse	Price	Spencer Stuart
113.	Torry	Berntsen	Standard Chartered
114.	Callie	Hulick	Standard Chartered
115.	Stephen	Scott	Starling Trust
116.	Jeff	Kupfer	Starling Trust
117.	Anthony	O'Reilly	State Street
118.	Michael	Richards	State Street
119.	Garry	Stegeland	Stifel Financial Corp
120.	Tom	Baxter	Sullivan & Cromwell LLP
121.	Marc	Treviño	Sullivan & Cromwell LLP
122.	Tucker	Nielsen	Tapestry Networks
123.	Sohana	Inderlall	TD Bank
124.	Ken	Marcuse	TD Bank
125.	Gregg	Rozansky	The Clearing House
126.	William	Sweeney	The Clearing House
127.	Roger	Ferguson	TIAA & Group of Thirty
128.	Tom	Naratil	UBS
129.	Dana	Ritzcovan	UBS
130.	Derek	Baumer	Wells Fargo
131.	Sarah	Dahlgren	Wells Fargo
132.	Betsy	Duke	Wells Fargo
133.	Mike	Roemer	Wells Fargo
134.	David	Walker	Winton Capital Management

Official Sector

	First Name	Last Name	Affiliation
135.	Sean	Carmody	Australian Prudential Regulation Authority (APRA)
136.	Laure	Quincey	Autorite de Controle Prudentiel et de Resolution (ACPR)
137.	Diana	Capone	Banca d'Italia
138.	Marta	Estavillo	Banco de España
139.	Claire	Lanigan	Central Bank of Ireland
140.	Derville	Rowland	Central Bank of Ireland
141.	Melanie	de Waal	De Nederlandsche Bank (DNB)

	First Name	Last Name	Affiliation
142.	Willemieke	van Gorkum	De Nederlandsche Bank (DNB)
143.	Alfred	Seivold	Federal Depository Insurance Corp. (FDIC)
144.	Jonathan	Davidson	Financial Conduct Authority (FCA)
145.	Marc	Teasdale	Financial Conduct Authority (FCA)
146.	Nori	Suzuki	Financial Services Agency (FSA)
147.	Kotaro	Tanaka	Financial Services Agency (FSA)
148.	Grace	Sone	Financial Stability Board (FSB)
149.	Christer	Furustedt	Finansinspektionen (FI)
150.	Asa	Thalen	Finansinspektionen (FI)
151.	Carlo	di Florio	FINRA
152.	Norman	Chan	Hong Kong Monetary Authority (HKMA)
153.	Clement	Chan	Hong Kong Monetary Authority (HKMA)
154.	Lawrence	Cheung	Hong Kong Monetary Authority (HKMA)
155.	Karen	Wong	Hong Kong Monetary Authority (HKMA)
156.	Annabel	Tan	Monetary Authority of Singapore (MAS)
157.	Tom	Crock	Office of the Comptroller of Currency (OCC)
158.	Kevin	Walsh	Office of the Comptroller of Currency (OCC)
159.	Jamey	Hubbs	Office of the Superintendent of Financial Institutions (OSFI)
160.	Maria	Moutafis	Office of the Superintendent of Financial Institutions (OSFI)
161.	Angie	Radiskovic	Office of the Superintendent of Financial Institutions (OSFI)
162.	Jeremy	Rudin	Office of the Superintendent of Financial Institutions (OSFI)
163.	Carl	Schwartz	Reserve Bank of Australia
164.	Jay	Clayton	Securities and Exchange Commission (SEC)
165.	James	Giles	Securities and Exchange Commission (SEC)
166.	Jason	Leung	Securities and Exchange Commission (SEC)
167.	Jack	Hogan	Sumitomo Mitsui Banking Corporation
168.	Sigrid	Kozmiensky	SSM – European Central Bank
169.	Florian	Narring	SSM – European Central Bank
170.	Sofia	Toscano Rico	SSM – European Central Bank
171.	Manuela	Luzio	Swiss Financial Market Supervisory Authority (FINMA)

Other

	First Name	Last Name	Affiliation
172.	Jennifer	Simpson	Aspen Institute
173.	Henry	Engler	Author
174.	Debbie	Hughes	Business-Higher Education Forum
175.	Costanza	Russo	Centre for Commercial Law Studies
176.	Azish	Filabi	Ethical Systems (NYU)
177.	Gillian	Tett	Financial Times
178.	Lev	Menand	Fmr. U.S. Treasury Department
179.	Lynn	Paine	Harvard Business School
180.	Robert	Gibbons	MIT
181.	Susan	Ochs	New America Foundation
182.	Caterina	Bulgarella	NYU Stern School of Business
183.	Jonathan	Haidt	NYU Stern School of Business
184.	Peter Blair	Henry	NYU Stern School of Business
185.	Linda	Treviño	Penn State University
186.	Henry	Engler	Author
187.	Joe	Carapiet	Senate Banking Committee
188.	Laura	Swanson	Senate Banking Committee
189.	Rene M.	Kern, Jr.	University of CA, Berkeley

	First Name	Last Name	Affiliation
190.	Richard	Lyons	University of CA, Berkeley
191.	Michael	Barr	University of Michigan
192.	Scott	DeRue	University of Michigan
193.	Ernie	Jolly	US House of Representatives

Federal Reserve System

	First Name	Last Name	Affiliation
194.	Jennifer	Burns	Federal Reserve Board
195.	Teresa	Scott	Federal Reserve Board
196.	Laura	Duhamel Rogers	FRB Boston
197.	Doug	Mandel	FRB Boston
198.	Joe	Tracy	FRB Dallas
199.	James	Bergin	FRB New York
200.	Stein	Berre	FRB New York
201.	Clive	Blackwood	FRB New York
202.	Patrick	Brady	FRB New York
203.	William	Brodows	FRB New York
204.	Vic	Chakrian	FRB New York
205.	Stephanie	Chaly	FRB New York
206.	Toni	Dechario	FRB New York
207.	Dianne	Dobbeck	FRB New York
208.	William	Dudley	FRB New York
209.	Adrian	Franco	FRB New York
210.	Caroline	Frawley	FRB New York
211.	Martin	Grant	FRB New York
212.	Dana	Green	FRB New York
213.	Bettyann	Griffith	FRB New York
214.	Jack	Gutt	FRB New York
215.	Lauren	Hargraves	FRB New York
216.	Melanie	Heintz	FRB New York
217.	Michael	Held	FRB New York
218.	James	Hennessy	FRB New York
219.	Jordan	Jhamb	FRB New York
220.	Gary	Kaplan	FRB New York
221.	Sandra	Lee	FRB New York
222.	Anand	Marri	FRB New York
223.	Meg	McConnell	FRB New York
224.	Jackie	McCormack	FRB New York
225.	Susan	Mink	FRB New York
226.	Helen	Mucciolo	FRB New York
227.	Michael	Nelson	FRB New York
228.	Thomas	Noone	FRB New York
229.	Brian	O'Halloran	FRB New York
230.	Keith	Paxton	FRB New York
231.	Anika	Pratt	FRB New York
232.	Andrea	Priest	FRB New York
233.	Carmi	Recto	FRB New York
234.	Joshua	Rosenberg	FRB New York
235.	Louis	Scenti	FRB New York
236.	Barry	Schindler	FRB New York
237.	Denise	Schmedes	FRB New York

	First Name	Last Name	Affiliation
238.	Vandana	Sharma	FRB New York
239.	Glen	Snajder	FRB New York
240.	Kevin	Stiroh	FRB New York
241.	Michael	Strine	FRB New York
242.	Daniel	Sullivan	FRB New York
243.	Randy	Tokar	FRB New York
244.	John	Williams	FRB New York
245.	Gerard	Dages	FRB New York
246.	Rosa	Gil	FRB New York - Director
247.	Sara	Horowitz	FRB New York - Director
248.	Glenn	Hutchins	FRB New York - Director
249.	Natalie	DePasquale	FRB Richmond
250.	Thomas	Barkin	FRB Richmond
251.	James	Trotta	FRB Richmond
252.	Lisa	White	FRB Richmond
253.	Summer	Cole	FRB San Francisco
254.	Kristen	LeBlond	FRB San Francisco